

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

Atty. Docket No. KNN-017

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
Not Yet Assigned **10/501377**

INTERNATIONAL APPLICATION NO.
PCT/GB03/00099

INTERNATIONAL FILING DATE
January 13, 2003

PRIORITY DATE CLAIMED
January 15, 2002

TITLE OF INVENTION ELECTRIC MOTOR MONITORING SYSTEM

APPLICANTS FOR DO/EO/US
CULLEN, George; STEWART, Brian

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (as Published International Application No. WO 03/060536 A1) (36 pgs).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(C)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) and power of attorney for utility patent application.
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 To 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment (8 pgs).
14. ☒ An Application Data Sheet under 37 CFR 1.76 (3 pgs).
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☒ A copy of the Published International Application No. WO 03/060536 A1 under 35 U.S.C. 154(d)(4) (36 pgs).
19. ☐ A second copy of the English language translated of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Copy of the PCT Request (5 pgs); Copy of the International Search Report (4 pgs); Copy of the International Preliminary Examination Report (11 pgs); check in the amount of \$532.00, and Return Receipt Postcard, with each paper and the fee having Express Mail Label No. EV 375067582 US thereon.

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a)(1) – (5)):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO..... **\$1,080.00**

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO..... **\$920.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... **\$770.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... **\$730.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4)..... **\$100.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS****PTO USE ONLY**Surcharge of \$130.00 for furnishing the oath or declaration of later than 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	28 – 20 =	8	X \$18.00
Independent claims	3 – 3 =	0	X \$86.00

\$ 144.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+ \$290.00

\$

TOTAL OF ABOVE CALCULATIONS =

\$1064.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$532.00

SUBTOTAL =

\$532.00

Processing fee of \$130.00 for furnishing the English translation later than 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$

TOTAL NATIONAL FEE =

\$532.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

\$

TOTAL FEES ENCLOSED =

\$532.00

Amount to be:	\$
refunded	
charged	\$

a. ☒ A check in the amount of \$532.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to
Deposit Account No. 20-0531.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must
be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Patent Administrator
Testa, Hurwitz & Thibault, LLP
125 High Street
High Street Tower
Boston, MA 02110

SIGNATURE

James E. Fajkowski
NAME

54,089
REGISTRATION NUMBER

July 14, 2004
DATE